ADROIT FINANCIAL SERVICES PVT. LTD

AMO POLICY & TERM & CONDITIONS

Version: 1.12 Review Date: 01-04-2026

These Terms and Conditions should be read along with the Rights and Obligations as prescribed by SEBI. Nothing contained herein shall be construed as derogatory to the above Rights and Obligations. By availing of the After Market Order facility termed as AMO through Adroit Financial Services Pvt. Ltd., the Client acknowledges having read, understood and accepted these Terms and Conditions.

Adroit Financial Services Pvt. Ltd. has the right to modify/alter, change or vary all or any of the terms and conditions or to replace the same by another term, partly or wholly or to withdraw it entirely with intimation.

1. Adroit Financial Services Pvt. Ltd. registered broking clients can only avail this Facility.

2. Facility shall mean AMO facility offered by Adroit Financial Services Pvt. Ltd. on its trading platform whereby the client can place orders aftermarket hours and orders are processed during the pre-opening window or once the market opens on the next day. Once an client places an AMO, it will be held by the broker till the market opens on the next day. If the price set by the individual is matched during the pre-opening window, the order will be executed then; otherwise, it will be executed once the market opens.

3. The Client must always have sufficient cash/margin balance to purchase or a sufficient volume of available shares to sell when the order is processed.

4. The client should always consider Overnight risks that might lead to significant price movements, resulting in AMOs being filled at unfavorable prices before placing any AMO order.

5. The Client Acknowledges that AMOs may face liquidity issues due to lower trading volume or due to lower volume and erratic price movements and Adroit will not be responsible for any wrong execution or non execution of orders

6. The brokerage rates and the applicable charges for the AMO transactions would be as per the applicable rates for CNC product.

7. The Rules & Regulations as prescribed by the exchange will be applicable to client trades.

8. Adroit Financial Services Pvt. Ltd. is only acting as the agent for the purpose of placing orders on the basis of the instructions received. The execution of trades happens on the exchange platform and depending upon the order matching mechanism of the exchange. Thus there is a possibility that orders may be executed only partially or may even remain unexecuted.

9. Securities investments are subject to market risks and there can be no assurance or guarantee that the objectives will be achieved. Each client is advised to consult his/her own financial

advisor/professional tax advisors before availing of this facility.

10. Adroit Financial Services Pvt. Ltd., at its sole discretion, reserves the right to either temporarily or permanently, withdraw or suspend the AMO Order Facility with intimation but without assigning any reason for the same, whether in respect of one or more Clients. In case of a temporary withdrawal, the privileges may be reinstated by Adroit Financial Services Pvt. Ltd. at its sole discretion.

11. Adroit Financial Services Pvt. Ltd. may in accordance with its risk management policy, disallow buy option in certain securities as per its risk management policy. Further, certain Securities may not be allowed for trading on Exchanges due to corporate action, merger etc. The Client agrees that in such cases, if the scrip is not allowed for trading either by Adroit Financial Services Pvt. Ltd. or by the Exchange then the AMO order may not be placed or get rejected in such securities during the period when the scrip is disabled on Adroit Financial Services Pvt. Ltd. platform/Exchange. The AMO order for the said security will be placed only when the security is enabled for trading on Adroit Financial Services Pvt. Ltd. platform/Exchanges.

12. The Client agrees that he/she is not entering into a contractual or portfolio management arrangement by using the facility.

13. The client agrees that Adroit Financial Services Pvt. Ltd. have the right to decide the timing for placing the orders on the Order placement day.

14. The Client agrees that Adroit Financial Services Pvt. Ltd. shall not be held responsible for non-execution / rejection of the AMO orders from the Exchange end for any reason including trading is stopped for the whole market or for a specific security or if the security price touching circuit filters or for any other reason. Adroit Financial Services Pvt. Ltd. shall also have the right not to place SIP orders on such days.

15. The client agrees that Adroit Financial Services Pvt. Ltd. will not be held responsible and liable for any compensation for non-placement / rejection of the AMO orders in the above cases or for any reasons beyond its control.

16. The client agrees that there is a possibility of communication failure or system problems or slow or delayed response from system or trading halt, or any such other problem/glitch whereby not being able to establish access to the trading system/network, which may be beyond Adroit Financial Services Pvt. Ltd. control and may result in delay in processing or not processing ESIP orders either in part or in full.

17. Adroit Financial Services Pvt. Ltd., and other service providers shall not be held liable for any loss or damage incurred or suffered by the client due to any delay, error, defect, failure or interruption in the provision of Facility arising from or caused by any reason whatsoever which are beyond Adroit Financial Services Pvt. Ltd. control.

18. The risk management policies and other internal policies of Adroit Financial Services Pvt. Ltd. applicable on the clients and trades executed by them would be applicable on the trades executed through this facility also.

19. AMO orders generation will take place based on order creation by client and will not take into consideration on impact of corporate actions i.e. Bonus, Split, etc.

20. Adroit Financial Services Pvt. Ltd. and/or client may discontinue this facility completely or partially

by giving 15 days' notice to each other.

21. The client is required to provide any changes in his/her contact details like email id, mobile number and address to customer care team of Adroit Financial Services Pvt. Ltd.. The client can approach to customer care team for any assistance on Email: customercare@adroitfinancial.com and Phone: 01204550300

22. Client would be provided the Contract Notes and other communication as per the normal practice basis (physical or electronic mode as consented by the client).

23. General - Client is aware that the said investments decisions in investing in AMO is with due consent. The investments discussed or recommended in reports / calls and on website of Adroit Financial Services Pvt. Ltd. may not be suitable for all investors. Investors must make their own investment decisions based on their specific investment objectives and financial position and using such independent advisors, as they believe necessary. For more details / disclaimers refer to our website www.adroitfinancial.com

Adroit Financial Services Pvt. Ltd reserves the right at any time, with prior notice as per Regulations to add, alter, modify, change or vary all or any of the terms and conditions or to replace wholly or in part, the above facility by another facility, whether like above facility or not, or to withdraw it together. The clients who are participants of the facility will not hold Adroit Financial Services Pvt. Ltd responsible for, liable for, any actions, claims, demands, losses, damages, costs, charges and expenses which they may suffer, sustain or incur by way of above facility.