Form No. MGT-9

EXTRACT OF ANNUAL RETURN

as on the financial year ended on 31/03/2019

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

| i) CIN: | U74899UP1994PTC042323 | vi) Whether listed company: | NO |
|--|--|---|---|
| ii) Registration Date : | 06/04/1994 | vii) Name, Address and Contact details of Registrar and Transfer Agent, if any | |
| iii) Name of the Company: | ADROIT FINANCIAL SERVICES PRIVATE LIMITED | Name: | Alankit Assignment Limited |
| iv) Category : | Private | Address: | Alankit Heights, 3E/7 Jhandewalan Extn. New Delhi- 110055 |
| Sub-Category of the Company : | Company having share capital | Contact Details : | +91-11-42541234, +91-11-23541234 rta@alankit.com |
| v) Address of the Registered office and contact details : | 401-402, FOURTH FLOOR, ANGEL MEGA MALL, PLOT NO. CK-1, KAUSHAMBI, GHAZIABAD - 201010, Uttar Pradesh, Email id:adroitfinancial01@yahoo.com | | |

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

| 5 | Sl No. | Name and Description of main products /services | NIC Code of theProduct/ service | % to total turnoverof the company |
|---|--------|---|---------------------------------|-----------------------------------|
| 1 | 1 | Stock Broking | 6612 | 100 |

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES -

| Sl | NAME AND ADDRESS OF | CIN/GLN | HOLDING/SUBSIDIARY/ | % | Applicable Section |
|-----|--|-----------------------|---------------------|--------------|--------------------|
| No. | THE COMPANY | | ASSOCIATE | ofsharesheld | |
| 1 | ADROIT COMMODITIES SERVICES PRIVATE LIMITED | U74899UP1994PTC042293 | SUBSIDIARY | 100 | 2(87)(ii) |
| 2 | ADROIT FINANCIAL SERVICES (IFSC) PRIVATE LIMITED | U74999GJ2016PTC094755 | SUBSIDIARY | 100 | 2(87)(ii) |
| 3 | NEIPUN INFORMATICS PRIVATE LIMITED | U52100DL2014PTC268618 | SUBSIDIARY | 60 | 2(87)(ii) |

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding

| Category of | No. of Shares held at the | No. of Shares held at the end of | %Change |
|--------------|---------------------------|----------------------------------|----------|
| Shareholders | beginning of the year | the year | during |
| | | | the year |

| | Demat | Physical | Total | % of Total | Demat | Physical | Total | % of Total | |
|-------------------|---------|----------|---------|------------|---------|----------|---------|------------|---|
| | | | | Shares | | | | Shares | |
| A. Promoters | | | | | | | | | |
| (1) Indian | | | | | | | | | |
| a) Individual/HUF | 3846400 | 396100 | 4242500 | 98.03 | 3846400 | 396100 | 4242500 | 98.03 | 0 |
| b) Central Govt | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| c) State Govt (s) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| d) Bodies Corp.(s) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
|------------------------|----------|--------|---------|-------|---------|--------|---------|-------|---|
| e) Banks / FI(s) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| f) Any Other | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Sub-total (A) (1):- | 3846400 | 396100 | 4242500 | 98.03 | 3846400 | 396100 | 4242500 | 98.03 | 0 |
| 2) Foreign | | | | | | | | | |
| a) NRIs - Individuals | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| b) Other - Individuals | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| c) Bodies Corp. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| d) Banks / FI | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| e) Any Other | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Sub-total (A) (2):- | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total shareholding | 3846400 | 396100 | 4242500 | 98.03 | 3846400 | 396100 | 4242500 | 98.03 | 0 |
| of Promoter (A) = | | | | | | | | | |
| (A)(1)+(A)(2) | | | | | | | | | |
| B. Public Shareholding | | | | | | | | | |
| 1. Institutions | | | | | | | | | |
| a) Mutual Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| b) Banks / FI | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| c) Central Govt | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| d) State Govt(s) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| e) Venture Capital | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Funds | | | | | | | | | |
| f) Insurance Companies | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| g) FIIs | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| h) Foreign Venture | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Capital Funds | | | | | | | | | |
| Companies | | | | | | | | | |
| i) Others | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Sub-total (B)(1):- | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2. Non-Institutions | | | | | | | | | |
| a) Bodies Corp. | | | | | | | | | |
| i) Indian | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ii) Overseas | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| b) Individuals | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| i) Individual | | | | | | | | | |
| shareholders | | | | | | | | | |
| holding nominal | | | | | | | | | |
| share capital upto | | | | | | | | | |
| Rs. 1 lakh | | | | | | | | | |
| ii) Individual | 0 | 85100 | 85100 | 1.97 | 0 | 85100 | 85100 | 1.97 | 0 |
| shareholders | | | | | | | | | |
| holding | | | | | | | | 1 | |
| nominal share | | | | | | | | | |
| capital in | | | | | | | | 1 | |
| excess of Rs 1 lakh | <u></u> | | | | | | | | |
| c) Others | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Sub-total (B)(2):- | 0 | 85100 | 85100 | 1.97 | 0 | 85100 | 85100 | 1.97 | 0 |
| Total Public | 0 | 85100 | 85100 | 1.97 | 0 | 85100 | 85100 | 1.97 | 0 |
| Shareholding | | | | | | | | 1 | |
| (B)=(B)(1)+(B)(2) | <u> </u> | | | | | | | | |
| C. Shares held by | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Custodian for | | | | | | | | 1 | |
| GDRs & ADRs | | | | | | | | | |
| Grand Total(A+B+C) | 3846400 | 481200 | 4327600 | 100 | 3846400 | 481200 | 4327600 | 100 | 0 |

(ii) Shareholding of Promoters

| Sl | ShareHolder's Name | Shareholding at the beginning of the year | Shareholding at the end of the year |
|----|--------------------|---|-------------------------------------|
| No | | | |

| | | No. of | % of total | % of Shares | No. of | % of total | % of Shares | % change in |
|---|------------------|---------|---------------|--------------------|---------|---------------|--------------|---------------|
| | | Shares | Shares of the | Pledged/e- | Shares | Shares of the | Pledged/encu | share holding |
| | | | company | ncumbered to total | | company | mb -ered to | during the |
| | | | | Shares | | | total Shares | year |
| 1 | AJAY KUMAR GUPTA | 1647300 | 38.06 | | 1647300 | 38.06 | | 0 |
| 2 | ANITA GUPTA | 1024700 | 23.68 | | 1024700 | 23.68 | | 0 |

| 3 | ATUL KUMAR GUPTA | 1067200 | 24.66 | 1067200 | 24.66 | 0 |
|---|------------------|---------|-------|---------|-------|---|
| 4 | MAMTA GUPTA | 503300 | 11.63 | 503300 | 11.63 | 0 |
| | Total | 4242500 | 98.03 | 4242500 | 98.03 | 0 |

(iii) Change in Promoters' Shareholding (please specify, if there is no change) No Change

| Sl | ShareHolder's | Shareholding at the beginning | Date | Reason | Increase/Decrease in | Cumulative Shareholding |
|----|---------------|-------------------------------|------|--------|----------------------|-------------------------|
| No | Name | of the year | | | Shareholding | during the year |

| | | No. of | % of total | | | No. of | % of total | No. of | % of total |
|---|-----|--------|---------------|-----|-----|--------|---------------|--------|---------------|
| | | Share | Shares of the | | | Shares | Shares of the | Shares | Shares of the |
| | | | company | | | | company | | company |
| 1 | NIL | NIL | NIL | NIL | NIL | NIL | NIL | NIL | NIL |

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

| Sl | ShareHolder's Name | Shareholding at the | Date | Reason | Increase/Decrease in | Cumulative Shareholding |
|----|--------------------|-----------------------|------|--------|----------------------|-------------------------|
| No | | beginning of the year | | | Shareholding | during the year |

| | | No. of Shares | % of total Shares of the company | | No. of Shares | % of total Shares of the company | No. of Shares | % of total Shares of the company |
|---|---------------------|------------------|--|-----------------------------|------------------|--|------------------|--|
| 1 | ANUJ KUMAR GUPTA | 85100 | 1.97 | at the begining of the year | | | 85100 | 1.97 |

| At the beginning of the year | 85100 | 1.97 % | |
|------------------------------|-------|--------|--|
| Net Change | 0 | | |
| At the end of the year | 85100 | 1.97 % | |

(v) Shareholding of Directors and Key Managerial Personnel:

| Sl | ShareHolder's Name | Shareholding at the | Date | Reason | Increase/Decrease in | Cumulative Shareholding |
|----|--------------------|-----------------------|------|--------|----------------------|-------------------------|
| No | | beginning of the year | | | Shareholding | during the year |
| | | | | | | |

| | | No. of Shares | % of total Shares of the company | | No. of Shares | % of total Shares of the company | No. of Shares | % of total Shares of the company |
|---|-------------------------------|------------------|--|--------------------------------|------------------|--|---------------|--|
| 1 | AJAY KUMAR GUPTA(KMP) | 1647300 | 38.06 | at the begining of the year | | | 1647300 | 38.06 |
| 2 | ATUL KUMAR GUPTA(Director) | 1067200 | 24.66 | at the begining of the year | | | 1067200 | 24.66 |
| 3 | MAMTA GUPTA(Director) | 503300 | 11.63 | at the begining of the year | | | 503300 | 11.63 |

| At the beginning of the year | ar 3217800 | 74.35 % |
|------------------------------|------------|---------|
| Net Change | 0 | |
| At the end of the year | 3217800 | 74.35 % |

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

| | Secured Loans excluding | Unsecured Loans | Deposits | Total Indebtedness |
|-----------------------------------|-------------------------|-----------------|----------|--------------------|
| | deposits | | | |
| Indebtedness at the | | | | |
| beginning of the financial | | | | |
| year | | | | |
| i) Principal Amount | 296681055.69 | 140438940.13 | 0 | 437119995.82 |
| ii) Interest due but not paid | 0 | 0 | 0 | 0 |
| iii) Interest accrued but not due | 0 | 0 | 0 | 0 |
| | | | | |

| Total (i+ii+iii) | 296681055.69 | 140438940.13 | 0 | 437119995.82 |
|--|--------------|--------------|---|--------------|
| Change in Indebtedness during the financial year | | | | |
| * Addition | 144913260.00 | 250066488.00 | 0 | 394979748.00 |
| * Reduction | 69551226.31 | 0 | 0 | 69551226.31 |
| Net Change | 75362033.69 | 250066488.00 | 0 | 325428521.69 |
| Indebtedness at the end of the financial year | | | | |
| i) Principal Amount | 372043089.38 | 390505428.13 | 0 | 762548517.51 |
| ii) Interest due but not paid | 0 | 0 | 0 | 0 |
| iii) Interest accrued but not due | 0 | 0 | 0 | 0 |
| Total (i+ii+iii) | 372043089.38 | 390505428.13 | 0 | 762548517.51 |

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

| | Remuneration to Mana | | | ne Directors | | | | | | | | | |
|-----------|--|--|--|---|---------------------|-----------------|------------------------|--------------------------|------------|---|--|----------|------------------------------|
| Sl. no | Name of MD/WTD/Manag er | Gross salary | | | Stock Optio n | Sweat Equity | Commis | sion | | Others Remu n eratio n Specif y | Others Remu n eratio n Amou nt | Total(A) | Ceiling as per the Act |
| | | (a) Salary as per provisions contained in section 17(1) of the Income- tax Act,1961 | (b) Value of perqu isites u/s 17(2) Inco metax Act, 1961 | (c) Profits in lieu of salary under section 17(3) Income tax Act, | | | as % of profit | Others Comm ission | Amou nt | | | | |
| 1 | AJAY KUMAR GUPTA(Whole- time Director) | 12432000 | 0 | 1961 | 0 | 0 | 0 as % of profit | 0 | 0 | | 0 | 12432000 | 0 |
| 2 | ATUL KUMAR GUPTA (Director) | 8532000 | 0 | 0 | 0 | 0 | 0 as % of profit | 0 | 0 | | 0 | 8532000 | 0 |
| 3 | MANOJ KUMAR SRIVASTAVA (Director) | 471549 | 0 | 0 | 0 | 0 | 0 as % of profit | 0 | 0 | | 0 | 471549 | 0 |
| | Total | 21435549 | 0 | 0 | 0 | 0 | | | 0 | | 0 | 21435549 | 0 |

B. Remuneration to other directors:

| eratio | Sl. no | Name of Directors | Independent Directors | Other Non-Executive Directors | Total(B)= (1+2) | Total Mana g - erialR emun - eratio | Overall Ceiling as per the Act |
|--------|-----------|----------------------|-----------------------|-------------------------------|--------------------|--|---|
|--------|-----------|----------------------|-----------------------|-------------------------------|--------------------|--|---|

| • Fee | Com | Other | Other | Total | • Fee | Com | Other | Other | Total |
|--------|-------|--------|--------|-------|--------|-------|--------|--------|-------|
| for | missi | Remu | Remu | 1 | for | missi | Remu | Remu | 2 |
| attend | on | nerati | nerati | | attend | on | nerati | nerati | |
| ing | | on | on | | ing | | on | on | |

| IIL NIL |
|---------|
| IIL NIL |
| |

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

| Sl. | Particulars of Remuneration | Key Managerial Personnel |
|-----|-----------------------------|--------------------------|
| no. | | |

| | | CEO | Company Secretary | CFO | Total |
|----|---|-----|----------------------|-----|-------|
| 1. | Gross salary (a) Salary as per provisions contained in section 17(1) of the Income-tax Act,1961 | 0 | 0 | 0 | 0 |
| | (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 | 0 | 0 | 0 | 0 |
| | (c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961 | 0 | 0 | 0 | 0 |
| 2. | Stock Option | 0 | 0 | 0 | 0 |
| 3. | Sweat Equity | 0 | 0 | 0 | 0 |
| 4. | Commission 0 as % of profit | 0 | 0 | 0 | 0 |
| 5. | | 0 | 0 | 0 | 0 |
| | Total | 0 | 0 | 0 | 0 |

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

A. COMPANY

| Туре | Section of the Companies Act | Brief Description | Details of Penalty /Punishment/ Compounding fees imposed | Authority[RD /NCLT/COURT] | Appeal made, if any(give Details) |
|------|---------------------------------|-------------------|--|------------------------------|-----------------------------------|
| NIL | NIL | NIL | NIL | NIL | NIL |

B. DIRECTORS

| Type | Section of the | Brief Description | Details of Penalty | Authority[RD | Appeal made, if |
|------|----------------|-------------------|--------------------|--------------|-------------------|
| | Companies Act | | /Punishment/ | /NCLT/COURT] | any(give Details) |
| | | | Compounding fees | | |
| | | | imposed | | |
| NIL | NIL | NIL | NIL | NIL | NIL |

C. OTHER OFFICERS IN DEFAULT

| Type | Section of the | Brief Description | Details of Penalty | Authority[RD | Appeal made, if |
|------|----------------|-------------------|--------------------|--------------|-------------------|
| | Companies Act | | /Punishment/ | /NCLT/COURT] | any(give Details) |
| | | | Compounding fees | | |
| | | | imposed | | |
| NIL | NIL | NIL | NIL | NIL | NIL |

By order of the Board

ADROIT FINANCIAL SERVICES PRIVATE LIMITED

| Signature | NAME | DIN |
|-----------|------------------|----------|
| -SD- | ATUL KUMAR GUPTA | 00026879 |
| -SD- | AJAY KUMAR GUPTA | 00027028 |